

2615  
3/25/03  
PC

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3698D3

In Re Application Of: **John Hendricks**

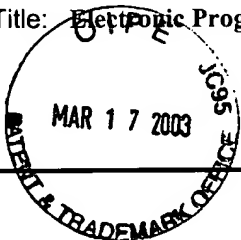
Serial No.  
09/964,856

Filing Date  
September 28, 2001

Examiner  
N/A

Group Art Unit  
2611

Title: **Electronic Program Guide with Targeted Advertising**



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Address to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**Technology Center 2600**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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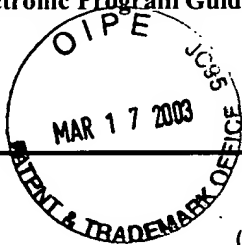
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Electronic Program Guide with Targeted Advertising



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- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 04-1425 as described below. A duplicate copy of this sheet is enclosed.
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Dated: **March 17, 2003**

**Kelly Lee**

**Reg. No. 47,743**

**DORSEY & WHITNEY LLP**

**1001 Pennsylvania Avenue, N.W.**

**Suite 400**

**Washington, D.C. 20004**

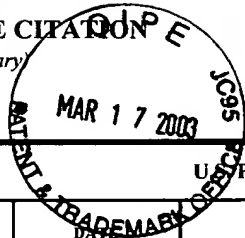
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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Docket Number (Optional)

3698.D3

Application Number

09/964,856

Applicant(s)

John S. Hendricks

Filing Date

September 28, 2001

Group Art Unit

2611

## UNITED STATES PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	1.	5,635,989	6/3/1997	Rothmuller	725	46	
	2.	5,629,733	5/13/1997	Youman, et al.	725	53	
	3.	4,641,205	2/3/1987	Beyers, Jr.	386	83	
	4.	6,331,877	12/18/2001	Bennington, et al.	348	731	

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.